



# Guideline of 3<sup>rd</sup> Party Investment

## 2021 CAS-CSIRO Joint Project

### Purpose of the 2021 CAS-CSIRO joint project

Under five thematic areas, aligning to the annual research direction of each Theme, both CAS and CSIRO will jointly leverage 3<sup>rd</sup> party investment to increase the size of the projects, address shared challenges and deliver a greater impact.

### Principles when engaging 3<sup>rd</sup> party

- Both of CAS and CSIRO responsible to identify and secure 3<sup>rd</sup> party
- Theme leader guides engagement with 3<sup>rd</sup> party
- Using the organization's standard policy to engage with a 3<sup>rd</sup> party
- IP arrangements will be decided jointly between CAS and CSIRO

### Partners

- Funding partners: providing the funding for the research
- Co-creator: researchers
- Delivery partner: delivering the outputs to market

### General description of 3<sup>rd</sup> party in CAS-CSIRO Joint Project

A 3<sup>rd</sup> party is an entity that is involved in a joint project in a collaboration that is primarily between CAS and CSIRO.

#### Type of 3<sup>rd</sup> party

- Government Body
- State Owned Enterprise
- Private Company
- University
- Research organization (exclusive CAS/CSIRO)

#### Numbers of 3<sup>rd</sup> party in one project

- No limitation

#### Location of 3<sup>rd</sup> party

- Australia, China or other countries that meet CAS and CSIRO governance requirement

## General description of 3<sup>rd</sup> party investment in CAS-CSIRO Joint Project

Investment from 3<sup>rd</sup> party is essential and critical to enable the joint project to have a larger size and greater impact that both CAS and CSIRO jointly couldn't do alone

### Type of investment

- Cash
- In-kind: **people** who are directly involved in the project and **infrastructure** that people access during the project delivery. Background IP is not included.

### Percentage of cash and in-kind investment

- No restriction with the following recommended models:
  1. Purely cash investment
  2. Major portion of cash (>50% of the total investment), top up in-kind
  3. Purely in-kind investment

## The required minimum AUD\$500K per year for three years from 3<sup>rd</sup> party

It's a joint responsibility from both CAS and CSIRO to source 3<sup>rd</sup> party.

The project is at the minimum value of AUD\$740K per year which is comprised of AUD\$240K contribution from both CAS and CSIRO and minimum AUD\$500K investment from 3<sup>rd</sup> party.

## Timeline

